On the Power of Small-Depth Computation

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Abstract

In this monograph we discuss selected topics on small-depth computation, presenting a few unpublished proofs along the way. The four sections contain:

- (1) A unified treatment of the challenge of exhibiting explicit functions that have small correlation with low-degree polynomials over $\{0, 1\}$.
- (2) An unpublished proof that small bounded-depth circuits (AC^0) have exponentially small correlation with the parity function. The proof is due to Klivans and Vadhan; it builds upon and simplifies previous ones.
- (3) Valiant's simulation of log-depth linear-size circuits of fan-in 2 by sub-exponential size circuits of depth 3 and unbounded fan-in. To our knowledge, a proof of this result has never appeared in full.
- (4) Applebaum, Ishai, and Kushilevitz's cryptography in bounded depth.

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The NP-completeness of SAT is a celebrated example of the power of bounded-depth computation: the core of the argument is a depth reduction establishing that any small non-deterministic circuit — an arbitrary NP computation on an arbitrary input — can be simulated by a small non-deterministic circuit of depth 2 with unbounded fan-in a SAT instance.

Many other examples permeate theoretical computer science. In this monograph we discuss a selected subset of them and include a few unpublished proofs.

We start in Section 2 with considering low-degree polynomials over the fields with two elements $\{0,1\}$ (a.k.a. GF(2)). Polynomials are a bounded-depth computational model: they correspond to depth-2 unbounded fan-in circuits whose output gate is a sum (in our case, modulo 2). Despite the apparent simplicity of the model, a fundamental challenge has resisted decades of attacks from researchers: exhibit explicit functions that have small correlation with low-degree polynomials. The section is a unified treatment of the state-of-the-art on this challenge. We discuss long-standing results and recent developments, related proof techniques, and connections with pseudorandom

2 Introduction

generators. We also suggest several research directions. Along the way, we present previously unpublished proofs of certain correlation bounds.

In Section 3 we consider unbounded fan-in circuits of small depth with \wedge (and), \vee (or), and \neg (not) gates, known as AC⁰. Here, we present an unpublished proof of the well-known result that small AC⁰ circuits have exponentially small correlation with the parity function. The proof is due to Klivans and Vadhan; it builds upon and simplifies previous ones.

In Section 4 we present a depth-reduction result by Valiant [72, 73] whose proof to our knowledge has never appeared in full. The result is that log-depth linear-size circuits of fan-in 2 can be simulated by subexponential size circuits of depth 3 and unbounded fan-in (again, the gates are \land, \lor, \neg). Although the parameters are more contrived, this result is in the same spirit of the NP-completeness of SAT mentioned at the beginning of this introduction. The latter depth-reduction crucially exploits *non-determinism*; interestingly, we have to work harder to prove Valiant's *deterministic* simulation.

Finally, in Section 5 we present the result by Applebaum, Ishai, and Kushilevitz [7] that shows that, under standard complexity theoretic assumptions, many cryptographic primitives can be implemented in very restricted computational models. Specifically, one can implement those primitives by functions such that each of their output bits only depends on a constant number of input bits. In particular, each output bit can be computed by a circuit of constant size and depth.

Of course, many exciting works on small-depth computation are not covered here. Recent ones include Rossman's lower bound [68] and the pseudorandom generators for small-depth circuits by Bazzi, Razborov, and Braverman [21].

Publishing note. Section 2 appeared in ACM SIGACT News Volume 40, Issue 1 (March 2009). The other sections are a polished version of the notes of Lectures 4, 5, 6, 7, 10, 12, 13, and 14 of the author's class "Gems of Theoretical Computer Science," taught at Northeastern University in Spring 2009 [78]. I thank the audience of the class, Rajmohan Rajaraman, and Ravi Sundaram for their useful feedback. I am grateful to Aldo Cassola, Dimitrios Kanoulas, Eric Miles, and Ravi Sundaram for scribing the above lectures.

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